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# NOTICE OF ALLOWANCE AND FEE(S) DUE

47888

7590

08/07/2008

HEDMAN & COSTIGAN P.C. 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036 EXAMINER

GOLOBOY, JAMES C

ART UNIT PAPER NUMBER

1797

DATE MAILED: 08/07/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/624,002	07/21/2003	Hidenobu Mikami	1007-020	5624

TITLE OF INVENTION: A GREASE COMPOSITION HAVING A BASE OIL, A THICKENER AND AN ADDITIVE INCLUDING A METAL SALT OF

DIBASIC ACID

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	11/07/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

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If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

appropriate. All further correspondence including the Patent, advance indicated unless corrected below or directed otherwise in Block 1, by maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Note Feet	e: A certificate of ma (s) Transmittal. This c	iling can only be used for	or domestic mailings of the
			pape	ers. Each additional pa	aper, such as an assignme mailing or transmission.	ent or formal drawing, must
	7590 08/07/ COSTIGAN P.C. OF THE AMERICA Y 10036		I he Stati addi tran	Certifi reby certify that this F es Postal Service with ressed to the Mail St smitted to the USPTO	cate of Mailing or Trans Fee(s) Transmittal is being sufficient postage for fire op ISSUE FEE address (571) 273-2885, on the d	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A	ITORNEY DOCKET NO.	CONFIRMATION NO.
10/624,002 TITLE OF INVENTION DIBASIC ACID	07/21/2003 : A GREASE COMPOS	ITION HAVING A BAS	Hidenobu Mikami SE OIL, A THICKENER A	ND AN ADDITIVE I	1007-020 NCLUDING A METAL	5624 SALT OF
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nonprovisional	NO	\$1440	\$300	\$0	\$1740	11/07/2008
EXAM	INER	ART UNIT	CLASS-SUBCLASS	]		
GOLOBOY	, JAMES C	1797	508-552000	•		
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
PLEASE NOTE: Unl recordation as set forth (A) NAME OF ASSIC	ess an assignee is identi n in 37 CFR 3.11. Comp GNEE	ified below, no assignee oletion of this form is NO	T a substitute for filing an  (B) RESIDENCE: (CITY)	atent. If an assignee assignment. 'and STATE OR COU	JNTRY)	ocument has been filed for
Please check the appropri	ate assignee category or	categories (will not be p	rinted on the patent):	Individual 🖵 Corpo	oration or other private gro	oup entity Government
4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			<ul> <li>b. Payment of Fee(s): (Plea</li> <li>A check is enclosed.</li> <li>Payment by credit car</li> <li>The Director is hereby overpayment, to Depo</li> </ul>	d. Form PTO-2038 is	attached.	
	s SMALL ENTITY statu	is. See 37 CFR 1.27.	b. Applicant is no long	<u> </u>		FR 1.27(g)(2).  ne assignee or other party in
interest as shown by the r	ecords of the United Star	tes Patent and Trademark	c Office.	ne applicant; a registe	ed attorney or agent; or tr	e assignee or other party in
Authorized Signature			Date			
Typed or printed name						
This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223	iality is governed by 35 lapplication form to the ons for reducing this bur irginia 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv the Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 min idual case. Any comrer, U.S. Patent and Trad THIS ADDRESS. S	public which is to file (an utes to complete, includir nents on the amount of ti- idemark Office, U.S. Dep END TO: Commissioner	d by the USPTO to process) in gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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47888	7590 08/07/2008		EXAM	IINER
HEDMAN & C	HEDMAN & COSTIGAN P.C. 1185 AVENUE OF THE AMERICAS		GOLOBOY	, JAMES C
			ART UNIT	PAPER NUMBER
NEW YORK, NY 10036			1797	
			DATE MAILED: 08/07/200	8

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 284 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 284 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	10/624,002	MIKAMI ET AL.
Notice of Allowability	Examiner	Art Unit
	James Goloboy	1797
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet w (OR REMAINS) CLOSED or other appropriate comm	ith the correspondence address in this application. If not included nunication will be mailed in due course. THIS
1. X This communication is responsive to the amendment filed	<u>4/28/08</u> .	
2. ☑ The allowed claim(s) is/are <u>1,3,7 and 11-15</u> .		
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> </ul>	e been received. e been received in Applicati	on No
3. Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application from the
International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be subminformal patent APPLICATION (PTO-152) which give	IENT of this application. itted. Note the attached EX	AMINER'S AMENDMENT or NOTICE OF
<u> </u>	. ,	declaration is deficient.
5. CORRECTED DRAWINGS (as "replacement sheets") mus		( DTO 040) attack at
(a) ☐ including changes required by the Notice of Draftspers	-	w ( PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date  (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1)	s Amendment / Comment o	the drawings in the front (not the back) of
each sheet. Replacement sheet(s) should be labeled as such in t  6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MAT	ERIAL must be submitted. Note the
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5 🗖 Notice of I	nformal Patent Application
<ol> <li>☑ Notice of References Cited (PTO-692)</li> <li>☑ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		Summary (PTO-413),
3. ☑ Information Disclosure Statements (PTO/SB/08),	Paper No	./Mail Dates s Amendment/Comment
Paper No./Mail Date 1/30/2004 4. Examiner's Comment Regarding Requirement for Deposit		s Statement of Reasons for Allowance
of Biological Material	9.	

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### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/28/08 has been entered.

### Statement of Reasons for Allowance

2. Claim 1 has been amended to require that the base oil does not contain ester oil. This amendment overcomes the rejection over Yokouchi, which requires an ester oil component in the base oil. Minami (JP 8-176578) discloses a grease with at least 20% of an alkyldiphenyl ether oil and not containing an ester oil, but also discloses a specific rust preventing agent that it not sodium sebacate. One of ordinary skill in the art therefore would not be motivated to replace the rust preventive of Minami with sodium sebacate. Newly added claim 15 overcomes the prior art for the same reasons.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James Goloboy whose telephone number is (571)272-2476. The examiner can normally be reached on M-F 9-5:30.

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Art Unit: 1797

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on 571-272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

**JCG** 

/Glenn A Caldarola/ Acting SPE of Art Unit 1797